



Brain potentials in outcome evaluation: When a social comparison takes effect

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ARTICLE INFO

Article history:

Received 29 November 2011

Received in revised form 4 June 2012

Accepted 6 June 2012

Available online 13 June 2012

Keywords:

Outcome evaluation

Social comparison

ERP

FRN

P300

LPP

ABSTRACT

Social comparison, in which people evaluate their opinions and abilities by comparing them with the opinions and abilities of others, is a central feature of human social life. Previous work has highlighted the importance of social comparison in reward processing. However, the time course of the social comparison effect in outcome evaluation remains largely unknown. The purpose of this study was to explore to what extent brain activity is modulated by social comparison between an individual and their anonymous partner. Event-related potentials (ERPs) were measured while participants viewed their own and their partner's gain and loss outcome feedback on the performance in a dot estimation task. Analysis of ERPs revealed that the feedback-related negativity (FRN) amplitude differed between gains and loss when it was modulated by social comparison. In contrast, the P300 was large for gains and showed an effect of social comparison independent of feedback valence. A late component, the late positive potential (LPP), was also modulated by social comparison, but it was insensitive to feedback valence. These data suggest that social comparison modulates outcome evaluation at several points in the information processing stream. Social comparison has no effect on the early coarse evaluation stage but modulates the late cognitive affective appraisal and re-appraisal processes. These findings provide a neurophysiological window for the importance of social comparisons in outcome evaluations by the human brain.

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1. Introduction

Social comparison is the process through which people come to know themselves by evaluating their own attitudes, abilities, and outcomes and by comparing them with others (Wood, 1996). Since Festinger's first proposal of social comparison theory (Festinger, 1954), work on social comparison has been growing. Research on social comparison has developed into a comprehensive area encompassing cognitive mechanisms and applications (Buunk and Gibbons, 2007; Fazio, 1979; Fishbach et al., 1963; Gibbons, 1999; Grube et al., 2007; Kumar, 2004; McCrory and Saucy, 2009; Poehlmann, 2001; Ruble et al., 1980; Stapel and Marx, 2006; Zuckerman and Alicke, 2009). Social comparison has been recognized as an important social psychological phenomenon, and substantial effort has been devoted to understanding its causes and the cognitive and emotional consequences. However, very little is known about the neural mechanisms underlying social comparison and how it affects and illuminates outcome evaluation.

Recent studies in social neuroscience have begun to identify brain networks involved in social comparison. Evidence from imaging research suggests that brain activity in reward-related regions is affected

by contingent information about the other person's payment. Specifically, the activation in the bilateral ventral striatum, a region known to be critically involved in reward processing, was low for when a person's own payment was compared to the other's payment followed by the condition of equal payment. Activation was high when a participant earned more than the other's payment. The effect of relative comparisons is independent of the level of payment (high or low) (Fliessbach et al., 2007). Social comparison has also been shown to be related to activation of the dorsal striatum, midbrain/thalamus, anterior insula and medial prefrontal cortex (MPFC) in an interactive social context (Zink et al., 2008), suggesting a role of social comparison in reward processing. A study using electroencephalographic (EEG) recordings identified the event-related brain potential (ERP) correlation with this social comparison effect. Both disadvantages and advantages of an equal payoff elicited a large late negative component (LNC), between 550 and 750 ms, when compared to equal payoff conditions (Qiu et al., 2010). Source analysis revealed that the generators of the LNC were localized in the caudate nucleus. This result is consistent with imaging studies that show the influence of social comparison on outcome evaluation when monetary reward was involved.

Most research on social comparison has focused on the neural mechanisms of reward processing, especially positive rewards (e.g., gains). Only recently have researchers begun to address the fact that social comparison usually arises when people are facing adversity or unfortunate

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circumstances (e.g., loss or punishment). In an fMRI study, for example, research has investigated the emotional and neural responses associated with upward social comparison (comparison with those who have more) and downward social comparison (comparison with those who have less) (Dvash et al., 2010). Interestingly, subjects who participated lost money, they experienced joy and Schadenfreude (gloating) if the other player had lost more money. On the other hand, when they actually won money, but the other player had won more than they experienced envy. This pattern was reflected in the activity of the ventral striatum. These results highlight the emotional consequences of social comparison in the loss domain. Less clarity, however, exists about the dimensions of brain responses to the social comparison effect of loss.

To address this question, the present study used EEG recordings aimed at exploring the dimensions of the social comparison effect on outcome evaluation when both positive and negative rewards were involved. We expect to find in how social comparison affects different stages in the process of outcome evaluation. According to previous neurophysiological studies, two ERP components are particularly sensitive to the aspects of reward and performance outcome. The first component is called feedback-related negativity (FRN) or medial-frontal negativity (MFN), which is a negative deflection in the frontocentral recording site that reaches a maximum amplitude about 250 and 300 ms following the onset of feedback stimulus (Gehring and Willoughby, 2002; Holmann et al., 2008; Holroyd and Coles, 2002; Holroyd et al., 2004; Miltner et al., 1997; Niessing et al., 2004a; Yu and Zhou, 2006a, 2006b, 2009). FRN is more pronounced when subjects receive errors, conflicts, unexpected punishment, and negative feedback. One of the most influential theories proposed that FRN reflects a reinforcement learning signal associated with prediction errors, especially when outcome is worse than expected (Holroyd and Coles, 2002). It has also been proposed that FRN reflects motivational/affective responses to negative feedback (Gehring and Willoughby, 2002).

Particularly relevant for the current study, previous studies have shown that the processing of performance feedback in an observation situation, in which feedback does not refer to the participant's own performance but to the performance of another player, yields similar FRN amplitude as in active conditions (Kobza et al., 2011; Liang and Zhou, 2010; Yu and Zhou, 2006b). However, both studies reported reduced FRN amplitude in observation conditions (Baum et al., 2010a; Fukushima and Hiraki, 2009; Itagaki and Katayama, 2008). It should be noted that in all previous studies examining feedback processing in an observation condition, the positive feedback (gains or correct) or negative feedback (loss or incorrect) was presented to the participant simultaneously with the outcome.

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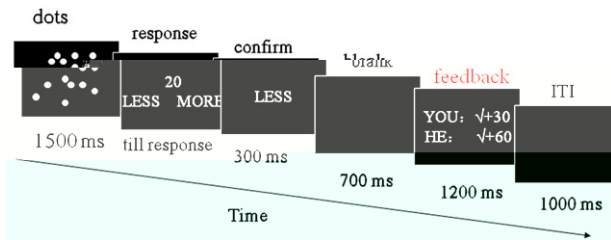


Fig. 1. Experimental task. Subjects participated in a dots-stimulation task adapted from the Flijsbach et al. (2007) study. Each trial began with a screen showing between 20 and 48 white dots for 1500 ms. This screen was replaced by a number that was ± 1 from the number of dots previously shown. The participant had to decide whether the number was more or fewer dots than this number. The participant indicated his/her answer using a joystick. A response changed the screen display, which then displayed the correct response for 300 ms. After a 700 ms delay, a feedback screen was displayed for 1200 ms. This screen displayed to the participant whether he/she had and his/her partner were correct (indicated by a "+" sign) or not (indicated by a "-" sign) as well as the amount of money they won or lost in this trial.

2.3. Experimental design

The experiment had a 2 (feedback value: gain or loss) by 3 (relative amounts: 1:1, 1:2, or 2:1) within-participant factorial design, in which we manipulated the relative amounts of gain and loss for the participant and his/her partner (the pseudo-participant, who was a research assistant). The feedback could be either a gain (when the participant made a correct response) or a loss (when the participant made an incorrect response). When both players had a gain, the relative amounts of reward for the participant and his/her partner could be one of the three conditions: +60/+60, +60/+120, or +120/+60, with the number before the forward slash indicating the amount for the participant and the number after the forward slash indicating the amount for the partner. When both players received a loss, the relative amounts of punishment for the participant and his/her partner could be one of the three conditions: -30/-30, -30/-60, or -60/-30, with the number before the forward slash indicating the amount for the participant and the number after the forward slash indicating the amount for the partner. The gain-to-loss ratio of the amount was set at 2:1, in accordance with classic decision-making literature which suggests that the impact of negative outcome is larger than that of positive outcome by a factor of two (Kahneman and Tversky, 1979; Tversky and Kahneman, 1981). To make the experimental setup more realistic, the +60/-30 and -30/+60 feedback were also included for the conditions in which the participant made a correct/incorrect response while his/her partner made an incorrect/correct response. The two conditions were excluded from the statistical analysis because they did not contribute to the objective of this study.

2.4. Procedure

Each participant was introduced to his/her partner while sitting in the EEG lab, and no further communication was allowed. After a brief description of the experiment, EEG sensors were attached and each participant was given detailed task instructions. To become familiar with the task, participants were given a practice block consisting of 20 trials. Following the practice, participants were told that they would earn "¥1.2" or "¥0.6" for each correct response and lose "¥0.3" or "¥0.6" for each incorrect response. Then, they were informed that the relative amounts of gain or loss for the participant and his/her partner would be based on their relative responses. The time to record participants' feelings of being treated differently for the same performance. Thus, participants could learn the most by making their responses as accurate as possible and quickly as possible. The instructions emphasized that to participants that their responses had a real outcome and money would be given or taken according to their own performance and their partner's payoff.

At the beginning of each trial, the participant saw a black screen with a varying number (20 to 48) of white dots for 1500 ms. Immediately after the number was presented that was ± 1 from the number of dots that had been shown. Interestingly, in the Flijsbach et al. (2007) study, the number differed by 20% from the number of dots previously shown, resulting in a high accuracy rate of 81%. A pretest using an individual sample of 10 participants showed that, on average, approximately 60% of trials were solved correctly at this difficulty level by thus assuring a sufficient number of negative events for each block of trials. Each participant had to decide whether the number was more or fewer dots than indicated by the number shown on the screen. He/she indicated his/her answer by means of joysticks. A response changed the screen display, and the selected option was highlighted for 300 ms as a response feedback. After a 700 ms delay, a feedback screen was displayed for 1200 ms. This display related to the participant whether he/she and the partner were correct (indicated by a "+" sign) or not (indicated by a "-" sign) as well as the amount of money they won or lost in that trial. The next trial started after a time interval of 1000 ms.

The experiment consisted of 10 blocks of 50 trials (500 trials total). The feedback value was determined by participants' responses with gains for correct answers and loss for incorrect answers. Unknown to the participant, the relative amounts of gain or loss were predetermined by a computer program instead of relative responses and four types of outcome for each feedback value were of equal probability. As noted above, our pretest with an individual sample and the average accuracy rate was approximately 60%. Therefore, a sufficient number of trials for each experimental condition were assured.

After the fulfillment of the computer task, each participant was asked to evaluate the favorability of the eight feedback conditions with a rating of 1 to 7, with 1 being the least favorable and 7 the most favorable. The participant was debriefed, paid, and thanked for their participation at the conclusion of the study.

2.5. EEG recording

Each EEG was recorded from 64 scalp sites using tin electrodes mounted in an elastic cap (NatusScan Inc., Herndon, Virginia, USA) according to the International 10/20 system. Eye blinks were recorded from the left supra-orbital and infra-orbital rows of electrodes. The horizontal electro-oculogram (EOG) was recorded from the row of electrodes placed 1.5 cm lateral to the left and right zygomatic canthi. All rows of electrode recordings were fed back online to an external electrode which was placed on the left mastoid. They were fed back offline to the gain of the left and right mastoid recordings. The impedance was maintained below 5 k Ω . Stimulus timing and recording of behavioral data were controlled by Presentation Software (Neurobehavioral Systems Inc., Albany, CA, USA).

The bio-signals were amplified using a 0.05–70 Hz band-pass filter and continuously sampled at 500 Hz/channel for off-line analysis. Ocular artifacts were corrected with an automatic correction algorithm, which employs a regression analysis in combination with artifact averaging (Sulitsch et al., 1986). All trials in which EEG voltage exceeded a threshold of $\pm 70 \mu V$ during the recording epoch were excluded from analysis. The data were then corrected by subtracting the average activity of that channel during baseline observation from each sample reading. EEG epochs of 1200 ms (with 200 ms pre-feedback baseline) were extracted off-line for feedback-related ERPs. Each epoch was inspected visually for artifacts. The EEG data were low-pass filtered below 30 Hz.

2.6. ERP analysis

To minimize overlap between the FRN and other ERP components, such as P300, we first off-line filtered the EEG data through a zero phase shift of 2–30 Hz band-pass (Donk et al., 2005; Hülsmann

al., 2008). The FRN was the difference in the amplitude in the 200–400-ms time window following feedback stimulus onset. To measure the FRN effect (i.e., the difference in ERP responses to negative and positive feedback), difference wave was created by subtracting the ERPs observed following gains from the ERPs observed following loss (after employing a 2–30-Hz band-pass filter). The difference wave was created separately based on the relative amounts of outcome. The FRN effect was the difference in the amplitude of the difference wave within a window between 200 and 400 ms, following feedback at each electrode site.

The P300 component was defined as the most positive peak in the 200–500-ms time window following feedback onset (without 2–30 Hz band-pass filter). The LPP (late positive potential) was evaluated as the average activity in the 450 ms to 750 ms time window after feedback onset (without 2–30-Hz band-pass filter). The ERP potentials and time windows were based on previous literature and visual inspection of the ERPs.

The statistical analysis of the FRN, P300, and LPP components was firstly conducted on the basis of broad electrode sites with the feedback value and relative amounts of gain or loss as two critical factors. The side (left, midline, right) and row of electrode were the two topographic factors considered. Based on previous studies, the F3, FC3, C3, Fz, FCz, Cz, F4, FC4, C4 electrode were included in calculations of the FRN component. For the P300, the CP3, P3, CPz, Pz, CP4, and P4 electrode were included. For the LPP, the F3, FC3, C3, CP3, P3, Fz, FCz, Cz, CPz, Pz, F4, FC4, C4, CP4, and P4 electrode were included. Based on the group analysis, we then selected the Fz electrode for FRN analysis, and the CPz electrode for the P300 and LPP analysis. The results did not significantly vary across electrode. For simplicity and specificity, we report the results of a single representative electrode site.

Behavioral and ERP data were statistically evaluated using SPSS software (version 18, SPSS Inc., Chicago, IL, USA). A Greenhouse–Geisser correction for the violation of sphericity assumption was applied when the degrees of freedom were more than one. Post hoc comparisons were based upon the Bonferroni procedure. The significance level was set at 0.05 for all analyses.

3. Results

3.1. Behavioral results

Participants made correct responses in approximately 62% ($\pm 11\%$) of the total trials. Favorability ratings for the difference of feedbacks are presented in Fig. 2. A 2 (feedback value: gain and loss) by 3 (relative amounts: 1:1, 1:2, and 2:1) repeated-measures ANOVA revealed a significant feedback value effect on favorability ratings ($F(2, 30) =$

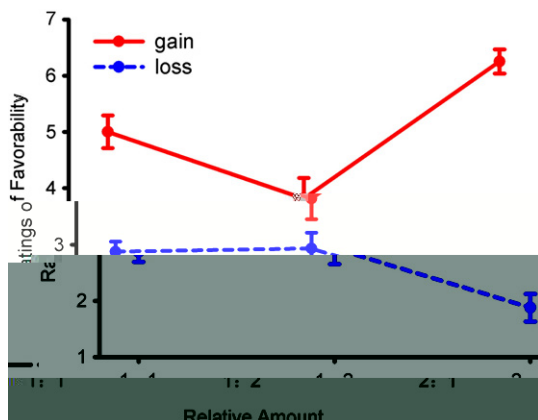


Fig. 2. The evaluation of favorability of the six feedback conditions, ranked from 1 to 7, with 1 being the most unfavorable and 7 the most favorable.

51.44, $p < 0.001$, $\eta^2_{\text{partial}} = 0.774$), with a gain outcome (5.02 ± 1.02) rated more favorably than a loss outcome (2.56 ± 0.95). A significant social comparison effect was also observed ($F(2, 30) = 6.31$, $p < 0.01$, $\eta^2_{\text{partial}} = 0.296$). The interaction of feedback value and relative payoff also reached significance ($F(2, 30) = 27.62$, $p < 0.001$, $\eta^2_{\text{partial}} = 0.648$) (see Fig. 2). Further analysis revealed that following a gain outcome the comparison effect was significant ($F(2, 30) = 21.53$, $p < 0.001$, $\eta^2_{\text{partial}} = 0.438$), with a feedback ratio of 2:1 ($+120/+60$, 6.25 ± 0.85) rated more favorably than the 1:1 ($+60/+60$, 5 ± 1.15) and 1:2 ($+60/+120$, 3.81 ± 1.47) ratios. Following a loss outcome the comparison effect was significant ($F(2, 30) = 11.67$, $p < 0.001$, $\eta^2_{\text{partial}} = 0.589$), with a feedback ratio of 1:1 ($-30/-30$, 2.88 ± 0.72) and 1:2 ($-30/-60$, 2.94 ± 1.12) rated more favorably than the 2:1 ratio ($-60/-30$, 1.88 ± 1.02).

3.2. The ERP results

Fig. 3 presents the feedback-locked ERP average for gain and loss feedback at the Fz and CPz electrode sites. Fig. 3 also presents the difference wave obtained by subtracting the gain from the loss for 1:1, 1:2 and 2:1 outcome ratios at the Fz and CPz electrode sites. The N1 potentials (most negative point in the time window of 50–150 ms), FRN, P300, N450 (most negative point in the time window of 400–600 ms) and LPP were extracted according to the visual impression suggested by Fig. 3. A 2 (feedback value: gain and loss) \times 3 (relative amounts: 1:1, 1:2, and 2:1) \times 3 (side: left, middle and right) \times 5 (row of electrode: F*, FC*, C*, CP*, and P*) repeated-measures ANOVA revealed no main effects nor an interaction effect of feedback value and relative amounts on N1 and N450. We therefore report only the FRN, P300 and LPP analysis results.

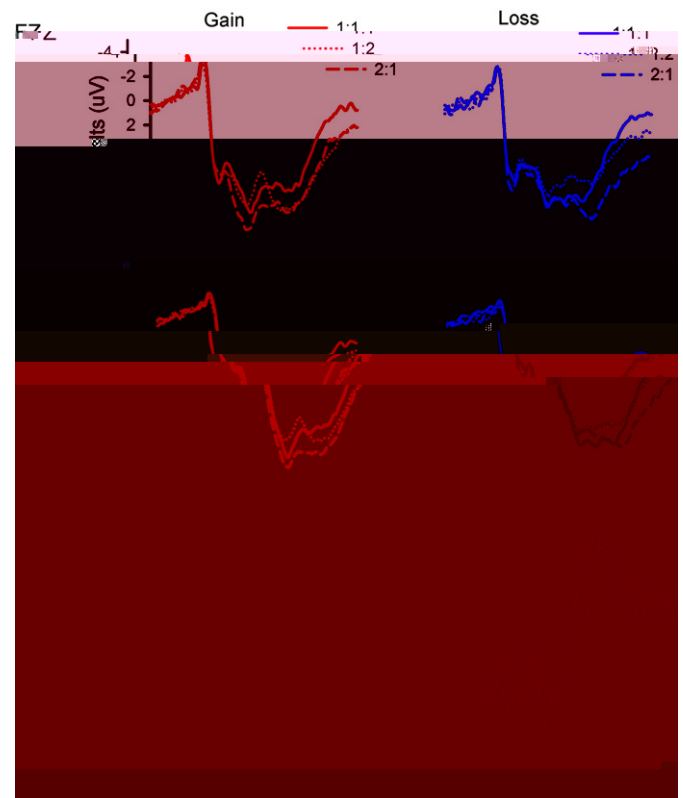


Fig. 3. Grand-average event-related potential (ERP) waveforms at the electrode sites of Fz and CPz and loss-minus-gain difference wave at the Fz and CPz electrode sites as a function of feedback value and relative amounts of gain or loss. Feedback stimulus onset occurred at 0 ms.

3.2.1. The FRN

A 2 (feedback val ue gain and loss) \times 3 (relative amounts: 1:1, 1:2, and 2:1) repeated measures ANOVA on FRN mean amplitude found a main effect of feedback value $F(1, 15) = 34.57$, $p < 0.001$, $\eta^2_{\text{partial}} = 0.697$, with the FRN component was more negative during

of large P300 value for gain feedback compared to loss feedback. First, the frequency difference between gains and loss (62% vs. 38%) was as high as the typical difference that occurred in previous research (e.g., 75% for frequency stimuli vs. 25% for infrequency stimuli, or 80% for frequency stimuli vs. 20% for infrequency stimuli). Second, the current findings that the P300 was more pronounced for the gain outcome (more frequency) than for loss outcome (less frequency), is contrary to the frequency effect that was found in previous studies. Large P300 following gain feedback suggests a role of P300 in differentiating favorable outcome from unfavorable outcome in feedback processing (Wu and Zhou, 2009).

Importantly, we found that social comparison effect on P300 to be independent of feedback value. These results were consistent in that the 1:1 payoff and the 2:1 payoff elicited a large P300 than the 1:2 payoff. One possible explanation is that the P300 reflects an individual's preference for equal payoffs over unequal payoffs. In other words, information related to favorability evaluation is involved in the initial access to the limited pool of attentional resource, as indicated by the P300 (Gray et al., 2004). The preference for equal payoff coincides with the concept of in equity aversion in the economic literature which implies that people have a preference for fairness and resist unequal outcome (Fehr and Schmidt, 1999; Rabin, 1993). This explanation also sheds light on the result of the large P300 for the 2:1 payoff than for the 1:2 payoff in the gain feedback because the advantage of unequal payoff (i.e., +120/+60) is more favorable than disadvantage of unequal payoff (i.e., +60/+120). The present behavioral data support this speculation. Accordingly, the equal payoffs and advantage of unequal payoff were rated more favorably than disadvantage of unequal payoff following gains. However, the finding of a large P300 for the 2:1 feedback (i.e., -60/-30) than for the 1:2 feedback (i.e., -30/-60) in the loss feedback cannot be accommodated by the favorability evaluation hypothesis. We hypothesize that the modulation of P300 by the reward magnitude is a possible explanation of this finding.

There is a consensus that the P300 encodes the reward magnitude information in feedback processing. Previous work suggests that the P300 codes the reward magnitude information without being sensitive to outcome valence and enhance P300 activity correlated with a large reward amount (Sato et al., 2005; Yung and Sanfey, 2004). Follow-up studies found that the P300 is sensitive to reward value as well as to reward magnitude with a more positive amplitude for positive feedback than for negative feedback (Bjork et al., 2010b; Hajcak et al., 2007; Holroyd et al., 2006; Liang and Zhou, 2010; Wu and Zhou, 2009). The current finding of a large P300 for 2:1 -60/-30 feedback than for -30/-60 feedback, suggests a magnitude evaluation within intrapersonal comparison instead of interpersonal comparison. Hence, we suggest that the favorability evaluation and magnitude judgment have an additive impact on P300 amplitude. Evidence suggests being judged as both the most favorable outcome

(Fig. 2) and of the high magnitude for one's own outcome (e.g., +120/+60 feedback) elicited the largest P300 amplitude of all the experimental feedback (Fig. 4B).

The social comparison effect on P300 suggests that this effect can appear immediately after the events come into conscious processing (latency approximately 350 ms), demonstrating automatic arousal of the comparison impulse when the partner's payoff is unrelated to the participant's final payoff. These results confirm a preliminary study demonstrating that social comparison may be a relatively spontaneous, effortless, and unintentional reaction to the performance of others and may occur when people consider such reactions logically inappropriate (Gilbert et al., 1995).

4.3. The LPP was sensitive to the discrepancy between the individual and the partner's payoffs

Unlike the FRN and the P300 components, the late positive potential (LPP) was not affected by feedback value but it was modulated by social comparison. However, the social comparison effect on LPP was different from that on P300. The LPP was large when the participant's outcome had a high magnitude than his/her partner's, e.g., the +120/+60 and the -60/-30 outcome. Unlike the P300, the LPP appeared to be sensitive to the arousal level of the feedback.

A previous study has observed that the post-error LPP was involved in evaluative processing. Specifically, it is elicited when a value of stimuli are presented in an emotionally incongruous context, e.g., a negative stimulus presented in the context of positive stimuli (Cacioppo et al., 1996), and the amplitude was equally high for positive and for negative stimuli (Schupp et al., 2000). Moreover, it was shown that the amplitude of the LPP was large for stimuli that were the most arousing, presumably the stimuli with the greatest motivational relevance (Schupp et al., 2000). This finding, together with the finding that the post-error LPP is not valence specific, suggests that the LPP may not reflect the processing of evaluation per se but rather may reflect detection of stimuli with motivational significance or downstream categorical processing of output from an evaluation system (Cunningham et al., 2005). Recent studies have shown that the LPP is sensitive to change in emotional processing resulting from the use of cognitive emotional regulation strategies like reappraisal (Hajcak et al., 2006b; Krompinger et al., 2008; Thiruchakam et al., 2011), suggesting a role of the LPP in emotional regulation processes.

In the present study, we found that the LPP was more pronounced for the 2:1 outcome. One possibility is that the outcome has high arousal level in part caused by perceptual saliency and partly caused by the greater gap between the participant and his/her partner's payoff. Another possibility is that 2:1 outcome is of greater motivational importance to the participants because they are reinforced for previous performance relative to a subequivalent response or a previous update

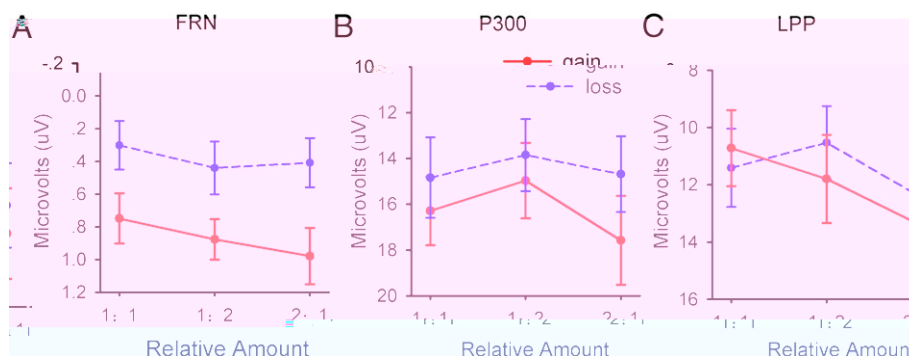


Fig. 4. (A) The mean FRN amplitude at the Fz electrode in the 200–400-ms time window after feedback onset following the 2–30-Hz band-pass filtering. Standard errors are also depicted. (B) The P300 amplitude on the CPz electrode in the 200–500-ms time window after feedback onset. (C) The mean LPP amplitude on the CPz electrode in the 450–750-ms time window after feedback onset.

payment information. An alternative explanation is that 2:1 outcome involves more engagement of emotional regulation processes. On an important direction for future research is to systematically compare hypotheses about the functional role of the LPP in outcome evaluation.

Ultimately, the current findings failed to support the hypothesis that the posterior LPP is a special case of the P300 or a sustained offset of the P300 (Critchley et al., 1995) because the LPP activity differed fundamentally from the P300 offset. Instead, the results may indicate

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